

**UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF MASSACHUSETTS**

IN RE PHARMACEUTICAL INDUSTRY  
AVERAGE WHOLESAL PRICE  
LITIGATION

MDL No. 1456

CIVIL ACTION: 01-CV-12257-PBS

Judge Patti B. Saris

THIS DOCUMENT RELATES TO  
ALL CLASS ACTIONS

**AVENTIS PHARMACEUTICALS INC.'S ANSWER TO PLAINTIFFS' FOURTH  
AMENDED MASTER CONSOLIDATED CLASS ACTION COMPLAINT**

Defendant Aventis Pharmaceuticals Inc.<sup>1</sup> ("Aventis"), for its Answer to Plaintiffs' Fourth Amended Master Consolidated Class Action Complaint (the "FAMCC"), states:

**Preface**

The FAMCC improperly and repetitively refers to Aventis and certain other defendants and third parties on a collective basis, failing to plead with requisite particularity allegations against Aventis or other defendants or third parties. Intentionally ambiguous pleading is improper and insufficient to apprise Aventis in any meaningful sense of the allegations asserted against it. Aventis has nevertheless attempted to respond to Plaintiffs' allegations to the extent possible under the circumstances. To the extent allegations refer to the knowledge, conduct or actions of other defendants or entities, Aventis is generally without knowledge or information sufficient to form a belief as to the truth of those allegations and, on that basis, Aventis denies those allegations. Aventis states that it is answering Plaintiffs' allegations on behalf of itself

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<sup>1</sup> Plaintiffs' description of "Aventis Group (Aventis, Pharma, Hoechst & Behring)" in the FAMCC is inaccurate and misleading. This Answer is that of Aventis Pharmaceuticals Inc. and Hoechst Marion Roussel, Inc. (collectively "Aventis").



only, even when Plaintiffs' allegations refer to alleged conduct by Aventis and other persons or entities.

The FAMCC improperly mixes factual allegations with inflammatory rhetoric so as to make it virtually impossible to respond meaningfully. Many of the allegations of the FAMCC are vague or conclusory. The FAMCC also includes terms which are undefined and which are susceptible of different meanings.

The FAMCC also contains purported quotations from a number of sources, many of which are unidentified. If any of the quotations originate in documents protected by the attorney-client privilege, the work-product doctrine or the joint-defense privilege, Aventis reserves the right to assert such privileges, hereby moves to strike such references and demands return of any such documents that Plaintiffs may have in their possession, custody or control. In answering allegations consisting of quotations, an admission that the material quoted was contained in a document or was uttered by the person or entity quoted shall not constitute an admission that the substantive content of the quote is or is not true or that the material is relevant or admissible in this action.

Aventis denies each and every allegation contained in the FAMCC, except as specifically herein admitted, and any factual averment admitted herein is admitted only as to the specific facts and not as to any conclusions, characterizations, implications, innuendos or speculations which are contained in any averment or in the FAMCC as a whole. Moreover, Aventis specifically denies any allegations contained in headings, footnotes, the Table of Contents or unnumbered paragraphs in the FAMCC.

These comments and objections are incorporated, to the extent appropriate, into each numbered paragraph of this Answer.



## **I. INTRODUCTION**

1. Aventis admits that Plaintiffs seek to bring this action as alleged in Paragraph 1 of the FAMCC. Aventis denies that Plaintiffs are entitled to maintain this action in the manner alleged.

2. Aventis denies the existence of, or its participation in, any “conspiracy” as alleged in Paragraph 2 of the FAMCC. To the extent the allegations in Paragraph 2 of the FAMCC allege a conspiracy between Aventis and various publishing entities, no response is required because these allegations, which formed the basis of Count I of the FAMCC, were dismissed with prejudice by the Court’s Order dated February 24, 2004. To the extent the allegations in Paragraph 2 of the FAMCC refer to the knowledge, conduct or actions of persons or entities other than Aventis, Aventis is without knowledge or information sufficient to form a belief as to the truth of those allegations and, therefore, denies those allegations.

3. To the extent the allegations in Paragraph 3 of the FAMCC are directed to persons, entities or defendants other than Aventis, Aventis is without knowledge or information sufficient to form a belief as to the truth of the allegations and, therefore, denies those allegations. Aventis admits that it manufactures certain medicines that are covered under Medicare Part B, and that Congress has mandated that Medicare Part B reimbursements be based, in part, upon AWP. Aventis admits that it reports certain pricing information for its medicines to independently produced pricing publications. Aventis admits that AWPs for its medicines published in these publications are typically higher than the prices ultimately paid by providers purchasing such medicines from wholesalers and distributors. Aventis denies the remaining allegations in Paragraph 3 of the FAMCC that pertain to it.



4. To the extent the allegations in Paragraph 4 of the FAMCC refer to the knowledge, conduct or actions of persons, entities or defendants other than Aventis, Aventis is without knowledge or information sufficient to form a belief as to the truth of those allegations and, therefore, denies those allegations. Aventis admits that Congress has mandated that Medicare Part B reimbursements be based, in part, upon AWP, and further admits that Medicare Part B medicines are generally, but not always, administered in a healthcare provider's office. Aventis denies the remaining allegations in Paragraph 4 of the FAMCC that pertain to it.

5. Aventis denies the existence of, and its participation in, any "AWP scheme" as alleged in Paragraph 5 of the FAMCC. To the extent the allegations in Paragraph 5 of the FAMCC refer to the knowledge, conduct or actions of persons, entities or defendants other than Aventis, Aventis is without knowledge or information sufficient to form a belief as to the truth of those allegations and, therefore, denies those allegations. Aventis denies the remaining allegations in Paragraph 5 of the FAMCC that pertain to it.

6. To the extent the allegations in Paragraph 6 of the FAMCC refer to the knowledge, conduct or actions of persons, entities or defendants other than Aventis, Aventis is without knowledge or information sufficient to form a belief as to the truth of those allegations and, therefore, denies those allegations. Aventis admits that Plaintiffs purport to bring this action as alleged in Paragraph 6 of the FAMCC, but Aventis denies that Plaintiffs are entitled to maintain this action in the manner alleged. Aventis denies the remaining allegations in Paragraph 6 of the FAMCC that pertain to it.

7. Aventis denies the existence of, and its participation in, any "high profit scheme" as alleged in Paragraph 7 of the FAMCC. To the extent the allegations in Paragraph 7 of the FAMCC refer to the knowledge, conduct or actions of persons, entities or defendants other than



Aventis, Aventis is without knowledge or information sufficient to form a belief as to the truth of those allegations and, therefore, denies those allegations. Aventis denies the remaining allegations in Paragraph 7 of the FAMCC that pertain to it.

8. Answering Paragraph 8 of the FAMCC, Aventis admits that Plaintiffs have filed attachments to the FAMCC. To the extent the allegations in Paragraph 8 of the FAMCC are deemed to include allegations against Aventis, they are denied.

## **II. JURISDICTION AND VENUE**

9. Aventis admits that this Court has federal question subject matter jurisdiction. Aventis avers that it is not a defendant in the Texas action referenced in Paragraph 9 of the FAMCC, but Aventis admits that, upon information and belief, diversity jurisdiction exists.

10. Aventis admits the allegations in Paragraph 10 of the FAMCC.

11. Aventis admits the second sentence in Paragraph 11 of the FAMCC. Aventis denies the remaining allegations in Paragraph 11 of the FAMCC, and specifically denies the existence of, and its participation in, any alleged “fraudulent marketing scheme.”

12. Aventis admits the allegations in Paragraph 12 of the FAMCC.

## **III. PARTIES**

13-62. Aventis is without knowledge or information sufficient to form a belief as to the truth of the allegations in Paragraphs 13 through 62 of the FAMCC and, therefore, denies those allegations. Further, Aventis denies that the individuals named in the referenced allegations are appropriate class representatives.

63-67. Aventis admits that Plaintiffs VPIRG, WCA, StateWide, CANY and CCJ purport to bring this action as alleged in Paragraphs 63 through 67 of the FAMCC, but Aventis denies that these Plaintiffs have standing to bring the alleged action. Aventis is without knowledge or



information sufficient to form a belief as to the truth of the remaining allegations in Paragraphs 63 through 67 of the FAMCC and, therefore, denies those allegations. To the extent that Plaintiffs are raising claims under the Clayton Act, those counts have been voluntarily dismissed and, therefore, do not require a response.

68-69. To the extent the allegations in Paragraphs 68 through 69 of the FAMCC refer to the knowledge, conduct or actions of persons, entities or defendants other than Aventis, Aventis is without knowledge or information sufficient to form a belief as to the truth of those allegations and, therefore, denies those allegations. Aventis denies the remaining allegations in Paragraphs 68 through 69 of the FAMCC that pertain to it. Aventis denies the existence of, or its participation in, any “conspiracy” as alleged in Paragraph 69 of the FAMCC.

70-81. The allegations in Paragraphs 70 through 81 of the FAMCC are directed to another defendant and require no response from Aventis. To the extent the allegations in Paragraphs 70 through 81 of the FAMCC are deemed to include allegations against Aventis, they are denied.

82. Aventis admits it is a Delaware corporation with its principal place of business located at 300-400 Somerset Corporate Boulevard, Bridgewater, New Jersey. Aventis denies that it is an indirect wholly-owned subsidiary of Aventis, S.A., a company domiciled in France. Aventis admits that it is the successor in interest to substantially all of the assets and liabilities of Hoechst Marion Roussel, Inc. (“Hoechst”), which was a Delaware corporation with its principal place of business located at 10236 Marion Park Drive, Kansas City, Missouri. Aventis denies the remaining allegations in Paragraph 82 of the FAMCC.

83. Aventis admits that its principal business activities are the discovery, development, manufacture and sale of prescription medicines in the area of cardiology,



oncology, infectious diseases, arthritis, allergies and respiratory disorders, diabetes and central nervous system disorders. Aventis denies the remaining allegations in Paragraph 83 of the FAMCC.

84. Aventis denies that Centeon L.L.C. was a 50/50 joint venture between Hoechst and Rhone-Poulenc Rorer, S.A. The remaining allegations in Paragraph 84 of the FAMCC are directed to another defendant and require no response from Aventis. To the extent the remaining allegations in Paragraph 84 of the FAMCC are deemed to include allegations against Aventis, they are denied.

85. Aventis denies that Behring is the plasma protein business of Aventis. The remaining allegations in Paragraph 85 of the FAMCC are directed to another defendant and require no response from Aventis. To the extent the remaining allegations in Paragraph 85 of the FAMCC are deemed to include allegations against Aventis, they are denied.

86. Aventis admits that it manufactures Anzemet and Taxotere, which are covered by Medicare Part B. Aventis admits that Hoechst manufactured Anzemet, which is covered by Medicare Part B. The remaining allegations in Paragraph 86 of the FAMCC are directed to another defendant and require no response from Aventis. To the extent the remaining allegations in Paragraph 86 of the FAMCC are deemed to include allegations against Aventis, they are denied.

87. The allegations in Paragraph 87 are directed to "Aventis," an entity that was not defined by Plaintiffs in the FAMCC. To the extent the allegations in Paragraph 87 of the FAMCC are directed to Aventis as defined in Footnote 1 hereto, Aventis admits that it is a participant of Together Rx, LLC, a Delaware limited liability company, but specifically denies the existence of, and its participation in, any alleged "Together Card Rx conspiracy." Further,



the claims related to Together Rx have been voluntarily dismissed and, therefore, do not require a response. To the extent a response is required, Aventis denies the allegations in Paragraph 87 of the FAMCC.

88-144. The allegations in Paragraphs 88 through 144 of the FAMCC are directed to another defendant and require no response from Aventis. To the extent the allegations in Paragraphs 88 through 144 of the FAMCC are deemed to include allegations against Aventis, they are denied.

#### **IV. GENERAL ALLEGATIONS APPLICABLE TO ALL DEFENDANTS**

145. To the extent the allegations in Paragraph 145 of the FAMCC are directed to other defendants, Aventis is without knowledge or information sufficient to form a belief as to the truth of those allegations and, therefore, denies those allegations. Aventis denies the allegations in Paragraph 145 of the FAMCC that pertain to it.

146. Upon information and belief, Aventis admits the allegations in Paragraph 146 of the FAMCC.

147-154. To the extent the allegations in Paragraphs 147 through 154 of the FAMCC refer to the knowledge, conduct, opinions or actions of persons, entities or defendants other than Aventis, Aventis is without knowledge or information sufficient to form a belief as to the truth of those allegations and, therefore, denies those allegations. Further answering Paragraph 147 of the FAMCC, Aventis admits that Congress has mandated that Medicare Part B reimbursements be based, in part, upon AWP. Further answering Paragraphs 148 and 149 of the FAMCC, Aventis admits that independently produced pricing publications periodically report AWPs for prescription medicines sold in the United States. Aventis states that the 1999 edition of the *Red Book* and the June 1996 Dow Jones News article cited in Paragraph 149 of the FAMCC are the



best evidence of their contents. Aventis denies the remaining allegations in Paragraphs 147 through 154 of the FAMCC that pertain to it, and specifically denies the existence of, and its participation in, any “AWP Scheme” as alleged in Paragraph 153 of the FAMCC.

155-157. Aventis admits the allegations in Paragraphs 155 through 157 of the FAMCC.

158. Answering Paragraph 158 of the FAMCC, Aventis admits that the reimbursement methodology for drugs covered by Medicare Part B is set forth at 42 C.F.R. § 405.517, which is the best evidence of its contents.

159. Aventis denies the allegations in the first sentence of Paragraph 159 of the FAMCC insofar as the source of the quoted material is unidentified. Aventis denies the second sentence of Paragraph 159 of the FAMCC insofar as 42 CFR § 405.517 contains no reference to a “ceiling” on reimbursement amounts.

160. Aventis admits the allegations in Paragraph 160 of the FAMCC.

161. Answering Paragraph 161 of the FAMCC, Aventis admits that the Medicare Program has publicly announced that it would use the AWP published in independently produced pricing publications as the basis for reimbursement. Aventis states that the Program Memo AB-99-63 cited in Paragraph 161 of the FAMCC is the best evidence of its contents.

162. Aventis states that the Program Memo AB-99-63 cited in Paragraph 162 of the FAMCC is the best evidence of its contents.

163-164. Aventis admits that Medicare Part B reimburses medical providers 80% of the allowable amount for a drug. Aventis denies that the remaining 20% is paid by the Medicare Part B beneficiary. Aventis admits that all medical providers are required by law to bill the 20% co-payment and make attempts beyond merely billing to collect that amount. Aventis admits



that beneficiaries under Part B are required to pay an annual deductible amount before Part B benefits are payable.

165. To the extent the allegations in Paragraph 165 of the FAMCC refer to the knowledge, conduct or actions of or benefits received by persons, entities or defendants other than Aventis, Aventis is without knowledge or information sufficient to form a belief as to the truth of those allegations and, therefore, denies those allegations. Aventis admits that there are no government regulations describing how AWP's are calculated, nor any other statutory or regulatory definitions or descriptions of AWP's. Aventis admits that it reports certain pricing information for its medicines to independently produced pricing publications. Aventis denies the remaining allegations in Paragraph 165 of the FAMCC that pertain to it.

166-167. Aventis states that the April 2003 OIG Guidance cited in Paragraphs 166 through 167 of the FAMCC is the best evidence of its contents. Aventis denies that Plaintiffs have accurately characterized any alleged OIG finding, opinion, statement or conclusion, or that such are applicable to Aventis.

168. To the extent the allegations in Paragraph 168 of the FAMCC refer to the knowledge, conduct or actions of persons, entities or defendants other than Aventis, Aventis is without knowledge or information sufficient to form a belief as to the truth of those allegations and, therefore, denies those allegations. Aventis denies the remaining allegations in Paragraph 168 of the FAMCC that pertain to it.

169-170. Aventis denies the existence of, and its participation in, any "scheme" cited in Paragraph 169 of the FAMCC. Aventis states that the September 28, 2000, letter from Congressman Stark to the President of Pharmaceutical Research and Manufacturers of America cited in Paragraphs 169 through 170 of the FAMCC is the best evidence of its contents. Aventis



denies that Plaintiffs have accurately characterized the findings, opinions, statements or conclusions of Congressman Stark, or that such are applicable to Aventis.

171. To the extent the allegations in Paragraph 171 of the FAMCC refer to the knowledge, conduct or actions of persons, entities or defendants other than Aventis, Aventis is without knowledge or information sufficient to form a belief as to the truth of those allegations and, therefore, denies those allegations. Aventis denies the remaining allegations in Paragraph 171 of the FAMCC that pertain to it.

172. To the extent the allegations in Paragraph 172 of the FAMCC refer to the knowledge, conduct or actions of persons, entities or defendants other than Aventis, Aventis is without knowledge or information sufficient to form a belief as to the truth of those allegations and, therefore, denies those allegations. Aventis denies the remaining allegations in Paragraph 172 of the FAMCC that pertain to it. Aventis further denies the existence of, and its participation in, any “scheme” as alleged in Paragraph 172 of the FAMCC.

173. To the extent the allegations in Paragraph 173 of the FAMCC refer to the knowledge, conduct or actions of persons, entities or defendants other than Aventis, Aventis is without knowledge or information sufficient to form a belief as to the truth of those allegations and, therefore, denies those allegations. Aventis admits that it reported suggested AWP for its medicines to independently produced pricing publications before August 2001, but Aventis denies that it has done so since. Aventis denies the remaining allegations in Paragraph 173 of the FAMCC that pertain to it.

174-197. To the extent the allegations in Paragraphs 174 through 197 of the FAMCC refer to the knowledge, conduct or actions of persons, entities or defendants other than Aventis, Aventis is without knowledge or information sufficient to form a belief as to the truth of those



allegations and, therefore, denies those allegations. Aventis states that the March 30, 2003 Wall Street Journal cited in Paragraph 191 is the best evidence of its contents. Aventis denies the existence of, and its participation in, any “scheme” as alleged in Paragraphs 174 and 176 of the FAMCC. Aventis denies the remaining allegations in Paragraphs 174 through 197 of the FAMCC that pertain to it.

198. Aventis admits that Plaintiffs purport to quote from an unidentified “industry consultant” as alleged in Paragraph 198 of the FAMCC, but Aventis is without knowledge or information sufficient to form a belief as to the truth of the allegations in Paragraph 198 of the FAMCC and, therefore, denies those allegations.

199-203. To the extent the allegations in Paragraphs 199 through 203 of the FAMCC and its subparts refer to the knowledge, conduct or actions of persons, entities or defendants other than Aventis, Aventis is without knowledge or information sufficient to form a belief as to the truth of those allegations and, therefore, denies those allegations. Aventis states that the *Red Book* and the unidentified DOJ report cited in Paragraph 200 of the FAMCC and the pleadings cited in Paragraphs 202 through 203 of the FAMCC and subparts are the best evidence of their contents. To the extent the allegations in Paragraphs 199 through 203 of the FAMCC are deemed to include allegations against Aventis, they are denied.

204. To the extent the allegations in Paragraph 204 of the FAMCC refer to the knowledge, conduct or actions of persons, entities or defendants other than Aventis, Aventis is without knowledge or information sufficient to form a belief as to the truth of those allegations and, therefore, denies those allegations. To the extent the allegations in Paragraph 204 of the FAMCC are deemed to include allegations against Aventis, they are denied.



205. To the extent the allegations in Paragraph 205 of the FAMCC refer to the knowledge, conduct or actions of persons, entities or defendants other than Aventis, Aventis is without knowledge or information sufficient to form a belief as to the truth of those allegations and, therefore, denies those allegations. Aventis states the 2003 CMS document cited in Paragraph 205 of the FAMCC is the best evidence of its contents. Aventis denies that Plaintiffs have accurately characterized any alleged CMS finding, opinion, statement or conclusion. Aventis admits that it sells its medicines in a competitive market and, as such, takes steps to keep certain information relating to its medicines confidential. Aventis denies the remaining allegations in Paragraph 205 of the FAMCC that pertain to it.

206-209. To the extent the allegations in Paragraphs 206 through 209 of the FAMCC refer to the knowledge, conduct or actions of persons, entities or defendants other than Aventis, Aventis is without knowledge or information sufficient to form a belief as to the truth of those allegations and, therefore, denies those allegations. Aventis denies the allegations in Paragraphs 206 through 209 of the FAMCC that pertain to it.

210. In response to the allegations in Paragraph 210 of the FAMCC, Aventis denies that Plaintiffs lacked inquiry or other notice of the issues associated with reliance on AWP as a pricing benchmark. Aventis specifically avers, to the contrary, that such issues were well known for more than a decade before Plaintiffs elected to bring this suit.

211-212. The allegations in Paragraphs 211 through 212 of the FAMCC state legal conclusions to which no response is required. To the extent a response is required, Aventis is without knowledge or information sufficient to form a belief as to the truth of those allegations in Paragraphs 211 through 212 of the FAMCC that refer to the knowledge, conduct or actions of persons, entities or defendants other than Aventis and, therefore, Aventis denies those



allegations. Aventis denies the remaining allegations in Paragraphs 211 through 212 of the FAMCC that pertain to it.

#### **V. EXAMPLES OF SPECIFIC UNLAWFUL CONDUCT**

213. To the extent the allegations in Paragraph 213 of the FAMCC refer to the knowledge, conduct or actions of persons, entities or defendants other than Aventis, Aventis is without knowledge or information sufficient to form a belief as to the truth of those allegations and, therefore, denies those allegations. Aventis denies the remaining allegations in Paragraph 213 of the FAMCC that pertain to it.

214-262. The allegations in Paragraphs 214 through 262 of the FAMCC are directed to another defendant and require no response from Aventis. To the extent allegations in Paragraphs 214 through 262 of the FAMCC are deemed to include allegations against Aventis, they are denied.

263. Aventis denies the existence of, and its participation in, any “scheme” as alleged in Paragraph 263 of the FAMCC. To the extent the allegations in Paragraph 263 of the FAMCC are directed to another defendant, Aventis is without sufficient knowledge and information to form a belief as to the truth of those allegations and, therefore, denies those allegations. Aventis denies the existence of, and its participation in, any “Aventis Group” as alleged in Paragraph 263 of the FAMCC and reiterates, as noted in Footnote 1 hereto, that this Answer is only filed on behalf of Aventis Pharmaceuticals Inc. and Hoechst Marion Roussel, Inc. (collectively referred to throughout this Answer as “Aventis”). Aventis admits that Plaintiffs purport to bring this action as alleged in Paragraph 263 of the FAMCC, but Aventis denies that Plaintiffs are entitled to a judgment or any other relief. Aventis denies the remaining allegations in Paragraph 263 of the FAMCC that pertain to it.



264. Aventis denies the existence of, and its participation in, any “scheme” as alleged in Paragraph 264 of the FAMCC. Aventis admits that the governmental agencies listed in Paragraph 264 of the FAMCC have conducted investigations concerning pricing in the pharmaceutical industry and that Aventis, among others, has participated in such investigations.

265-266. Aventis states that the November 1992 newsletter cited in Paragraph 265 of the FAMCC and the *Red Book* excerpt cited in Paragraph 266 of the FAMCC are the best evidence of their contents. Aventis denies that it produced or prepared the documents cited in Paragraphs 265 and 266 of the FAMCC. Therefore, it appears the allegations in Paragraphs 265 and 266 of the FAMCC are directed to another defendant and require no response from Aventis. To the extent allegations in Paragraphs 265 and 266 of the FAMCC are deemed to include allegations against Aventis, they are denied.

267. Aventis admits that it reported certain pricing information for its medicines to independently produced pricing publications during the purported Class Period. Aventis admits that it reported a suggested AWP for its medicines during a portion of that time. Aventis further admits that it produced the documents cited in Paragraph 267(a) of the FAMCC (AV-AAA-001054, AV-AAA-001047, AV-AAA-001050 and AV-AAA-001066), but Aventis states that these documents are the best evidence of their contents and denies that the conclusions Plaintiffs draw from these documents are accurate. Aventis denies that it produced or prepared the document cited in Paragraph 267(b) of the FAMCC (ABAWP 005314) and further denies that this document reflects any conduct by or knowledge of Aventis. Therefore, it appears the allegations in Paragraph 267(b) of the FAMCC are directed to another defendant and require no response from Aventis. To the extent allegations in Paragraph 267(b) of the FAMCC are deemed to include allegations against Aventis, they are denied.



268. Aventis denies the allegations in Paragraph 268 of the FAMCC.

269. Aventis states that the Centeon document (ABAWP 000855) cited in Paragraph 269 of the FAMCC is the best evidence of its contents. Aventis denies that it produced or prepared this document and further denies that this document reflects any conduct by or knowledge of Aventis. Therefore, it appears the allegations in Paragraph 269 are directed to another defendant and require no response from Aventis. To the extent allegations in Paragraph 269 of the FAMCC are deemed to include allegations against Aventis, they are denied.

270. Aventis states that the Aventis document (AV-AAA-02242-56) cited in Paragraph 270 of the FAMCC is the best evidence of its contents. Aventis denies that the conclusion drawn by Plaintiffs from the alleged quotation from this document is accurate. Aventis denies the remaining allegations in Paragraph 270 of the FAMCC that pertain to it.

271. Aventis states that the various documents cited in Paragraph 271 of the FAMCC (ABAWP 000089, ABAWP 000811 and ABAWP 000220-25) are the best evidence of their contents. Aventis denies that it produced or prepared these documents and further denies that these documents reflect any conduct by or knowledge of Aventis. Therefore, it appears the allegations in Paragraph 271 of the FAMCC are directed to another defendant and require no response from Aventis. To the extent allegations in Paragraph 271 of the FAMCC are deemed to include allegations against Aventis, Aventis denies those allegations.

272. To the extent the allegations in Paragraph 272 of the FAMCC refer to the knowledge, conduct or actions of persons, entities or defendants other than Aventis, Aventis is without knowledge or information sufficient to form a belief as to the truth of those allegations and, therefore, denies those allegations. Aventis denies that Plaintiffs have drawn an accurate conclusion about Aventis' business relationships with PBMs based on the cite in Paragraph 272



of the FAMCC to three pages of a seventeen-page contract with one PBM. Aventis states that the whole document cited in Paragraph 272 of the FAMCC (AV-AAA-000196-212) is the best evidence of its contents. Aventis denies the remaining allegations in Paragraph 272 of the FAMCC that pertain to it.

273-274. To the extent the allegations in Paragraphs 273 and 274 of the FAMCC refer to the knowledge, conduct or actions of persons, entities or defendants other than Aventis, Aventis is without knowledge or information sufficient to form a belief as to the truth of those allegations and, therefore, denies those allegations. Aventis states that the report published by the DHHS (AB-00-86) cited in Paragraph 273 of FAMCC and the report published by the OIG (*see* “Medicare Reimbursement of Prescription Drugs,” OEI-03-00-00310, Jan. 2001) cited in Paragraph 274 of the FAMCC are the best evidence of their contents, but Aventis denies that Plaintiffs have accurately characterized any alleged finding, opinion, statement or conclusion contained in these documents. Aventis admits that it sells its medicines at prices below AWP. Aventis denies the remaining allegations in Paragraphs 273 and 274 of the FAMCC that pertain to it.

275. In response to the allegations in Paragraph 275 of the FAMCC, Aventis admits that it educated its sales staff about issues such as price and Medicare reimbursement limitations concerning Anzemet with documents such as those cited in Paragraph 275 of the FAMCC (AV-AAA-001190-93 and AV-AAA-002291) so that they were knowledgeable about such issues and could respond accurately to customers’ questions. Aventis states that these documents are the best evidence of their contents but states further that the whole document cited in Paragraph 275 of the FAMCC (AV-AAA-002291-92) is the best evidence of its contents. Aventis denies the remaining allegations in Paragraph 275 of the FAMCC that pertain to it.



276. Aventis denies that the document excerpt cited in Paragraph 276 of the FAMCC (AV-AAA-001523) is accurately characterized as an Aventis “internal document.” Answering further, Aventis states that this document was not prepared by or with the assistance of Aventis. Answering further, Aventis states that this document and the additional documents cited in Paragraph 276 of the FAMCC (AV-AAA-001619-23) are the best evidence of their contents. Aventis denies that Plaintiffs have accurately characterized any alleged document finding, opinion, statement or conclusion. To the extent the allegations in Paragraph 276 of the FAMCC refer to the knowledge, conduct or actions of persons or entities other than Aventis, Aventis is without knowledge or information sufficient to form a belief as to the truth of those allegations and, therefore, denies those allegations. To the extent allegations in Paragraph 276 of the FAMCC are deemed to include allegations against Aventis, they are denied.

277. Aventis denies the existence of, and its participation in, any “scheme” as alleged in Paragraph 277 of the FAMCC. Aventis states that the September 28, 2000 letter to Alan F. Holmer, President of the Pharmaceutical Research and Manufacturers of America, from Congressman Stark cited in Paragraph 277 of the FAMCC is the best evidence of its contents. Aventis denies the remaining allegations in Paragraph 277 that pertain to it.

278-279. Aventis states that the documents cited in Paragraphs 278 (ABAWP 004767) and 279 (ABAWP 005315) are the best evidence of their contents. Aventis denies that it produced or prepared the documents cited in Paragraphs 278 (ABAWP 004767) and 279 (ABAWP 005315) of the FAMCC and further denies that these documents reflects any conduct by or knowledge of Aventis. Therefore, it appears the allegations in Paragraphs 278 and 279 are directed to another defendant and require no response from Aventis. To the extent allegations in



Paragraphs 278 and 279 of the FAMCC are deemed to include allegations against Aventis, they are denied.

280. The allegations in Paragraph 280 of the FAMCC are directed to another defendant and require no response from Aventis. To the extent allegations in Paragraph 280 of the FAMCC are deemed to include allegations against Aventis, they are denied.

281. To the extent the allegations in Paragraph 281 of the FAMCC refer to the knowledge, conduct or actions of persons or entities other than Aventis, Aventis is without knowledge or information sufficient to form a belief as to the truth of those allegations and, therefore, denies those allegations. Aventis admits that it produced documents cited in Paragraph 281 of the FAMCC that show the AWP and acquisition price for Anzemet to customers in a specific class of trade. Aventis admits that it sells its medicines at prices below AWP. Aventis denies the remaining allegations in Paragraph 281 of the FAMCC that pertain to it.

282. Aventis denies that the document excerpt cited in Paragraph 282 of the FAMCC (AV-AAA-000705) is a price list issued by Arcola Laboratories or that it concerns Calcimar. Aventis states further that the entire document is the best evidence of its contents. Aventis denies that Plaintiffs have accurately characterized any alleged finding, opinion, statement or conclusion contained in that document. Aventis denies the remaining allegations in Paragraph 282 that pertain to it.

283. Aventis denies the allegations in Paragraph 283 of the FAMCC. Specifically, Aventis denies the existence of, and its participation in, any “scheme” as alleged in Paragraph 283 of the FAMCC.



284. Aventis states that the document (ABAWP 008420) cited in Paragraph 284 of the FAMCC is the best evidence of its contents. Moreover, Aventis denies that this document was produced or prepared by Aventis and further denies that this document reflects any conduct by or knowledge of Aventis. Therefore, it appears the allegations in Paragraph 284 are directed at another defendant and require no response from Aventis. To the extent the allegations in Paragraph 284 of the FAMCC refer to the knowledge, conduct or actions of persons, entities or defendants other than Aventis, Aventis is without knowledge or information sufficient to form a belief as to the truth of those allegations and, therefore, denies those allegations. Aventis denies the remaining allegations in Paragraph 284 of the FAMCC that pertain to it.

285-501. The allegations in Paragraphs 285 through 501 of the FAMCC are directed to another defendant and require no response from Aventis. To the extent allegations in Paragraphs 285 through 501 of the FAMCC are deemed to include allegations against Aventis, they are denied.

## **VI. PLAINTIFFS' CLAIM FOR DAMAGES**

502. Aventis denies that Plaintiffs have suffered damages or injuries as alleged in Paragraph 502 of the FAMCC. Aventis states that "AWP" is a government-created pricing term of art in the pharmaceutical industry. Aventis denies that Plaintiffs have pled a proper class or named proper class representatives. Aventis is without knowledge or information sufficient to form a belief as to the truth of the remaining allegations in Paragraph 502 of the FAMCC and, therefore, denies those allegations.



## **VII. CLASS ALLEGATIONS FOR THE PURPORTED “AWP PAYOR CLASS”**

503-506. Aventis admits that Plaintiffs purport to bring this action as alleged in Paragraphs 503 through 506 of the FAMCC, but Aventis denies that Plaintiffs are entitled to maintain this action in the manner alleged.

507-512. The allegations in Paragraphs 507 through 512 of the FAMCC state legal conclusions to which no answer is required. Aventis denies, however, that Plaintiffs have pled a proper class or named proper class representatives. Further answering, Aventis denies that Plaintiffs or any putative class members have suffered damages or injuries as alleged in Paragraphs 508, 509, and 512 of the FAMCC.

### **COUNT I (RICO)**

513-541. The Court dismissed Count I in its Order dated February 24, 2004. Accordingly, Aventis does not respond to Paragraphs 513 through 541 of the FAMCC. To the extent a response is required, Aventis denies all allegations in Count I of the FAMCC that pertain to it.

### **COUNT II (RICO)**

542. Answering Paragraph 542 of the FAMCC, Aventis realleges and incorporates its responses to the allegations in Paragraphs 1 through 541 of the FAMCC.

543. To the extent the allegations in Paragraph 543 of the FAMCC refer to the knowledge, conduct or actions of persons, entities or defendants other than Aventis, Aventis is without knowledge or information sufficient to form a belief as to the truth of those allegations and, therefore, denies those allegations. Aventis admits that Plaintiffs purport to bring this action and to seek relief under Count II of the FAMCC as alleged in Paragraph 543 of the FAMCC, but Aventis denies that Plaintiffs are entitled to maintain this action as alleged or to any relief against



Aventis under Count II. Aventis denies the remaining allegations in Paragraph 543 of the FAMCC.

544. The allegations in Paragraph 544 of the FAMCC state a legal conclusion to which no answer is required. Aventis denies, however, that Plaintiffs have pled a proper class.

545 To the extent the allegations in Paragraph 545 of the FAMCC and subparts state legal conclusions, Aventis avers that no answer is required. Aventis is without knowledge or information sufficient to form a belief as to the truth of the remaining allegations in Paragraph 545 of the FAMCC and subparts and, therefore, denies those allegations.

546-550 Aventis denies the existence of, or its participation in, any “enterprise,” “AWP Scheme,” or “hidden profit-making scheme” as alleged in Paragraphs 546 through 550 of the FAMCC. To the extent the allegations in Paragraphs 546 through 550 of the FAMCC refer to the knowledge, conduct or actions of persons, entities or defendants other than Aventis, Aventis is without knowledge or information sufficient to form a belief as to the truth of those allegations and, therefore, denies those allegations. To the extent the allegations in Paragraphs 546 through 550 of the FAMCC state legal conclusions, Aventis avers that no answer is required. Aventis states that the unidentified “industry report” cited in Paragraph 550 of the FAMCC is the best evidence of its contents. Aventis denies that Plaintiffs have accurately characterized any alleged “industry report” finding, opinion, statement or conclusion. Aventis denies the remaining allegations in Paragraphs 546 through 550 of the FAMCC that pertain to it.

551-573. Aventis denies the existence of, or its participation in, any “enterprise,” “AWP spread scheme,” “AWP Scheme,” “The Aventis Group Manufacturer-PBM Enterprise” and/or “Manufacturer-PBM Enterprise” as alleged in Paragraphs 551 through 573 of the FAMCC. To the extent the allegations in Paragraphs 551 through 573 of the FAMCC refer to the knowledge,



conduct or actions of persons, entities or defendants other than Aventis, Aventis is without knowledge or information sufficient to form a belief as to the truth of those allegations and, therefore, denies those allegations. To the extent the allegations in Paragraphs 551-573 of the FAMCC state legal conclusions, Aventis avers that no answer is required. Aventis denies that Plaintiffs have suffered damages or injuries as alleged in Paragraphs 572 and 573 of the FAMCC. To the extent the allegations in Paragraph 551 through 573 of the FAMCC include allegations against Aventis, they are denied.

574. The allegations in Paragraph 574 of the FAMCC state legal conclusions to which no response is required. To the extent a response is required, Aventis denies the allegations in Paragraph 574 of the FAMCC.

### **COUNT III (DECLARATORY RELIEF)**

575. Answering Paragraph 575 of the FAMCC, Aventis realleges and incorporates its responses to the allegations in Paragraphs 1 through 574 of the FAMCC. Aventis admits that Plaintiffs purport to bring this action and to seek relief under Count III of the FAMCC as alleged in Paragraph 575, but Aventis denies that Plaintiffs are entitled to maintain this action as alleged or to any relief against Aventis under Count III.

576. The allegations in Paragraph 576 of the FAMCC state legal conclusions to which no response is required. To the extent a response is required, Aventis denies that Plaintiffs have standing to bring this action, and denies that an actual case and controversy exists. To the extent the allegations in Paragraph 576 of the FAMCC refer to the knowledge, conduct or actions of persons, entities or defendants other than Aventis, Aventis is without knowledge or information sufficient to form a belief as to the truth of those allegations and, therefore, denies those allegations. Aventis denies the allegations in Paragraph 576 of the FAMCC that pertain to it.



577-578. Answering the first sentence of Paragraph 577 of the FAMCC, Aventis refers to and incorporates its response to Paragraph 576 of the FAMCC. To the extent the allegations in Paragraphs 577 through 578 of the FAMCC refer to the knowledge, conduct or actions of persons, entities or defendants other than Aventis, Aventis is without knowledge or information sufficient to form a belief as to the truth of those allegations and, therefore, denies those allegations. Aventis denies the remaining allegations in Paragraphs 577 through 578 of the FAMCC that pertain to it.

#### **COUNT IV (CONSUMER PROTECTION STATUTES)**

579. Answering Paragraph 579 of the FAMCC, Aventis realleges and incorporates its responses to the allegations in Paragraphs 1 through 578 of the FAMCC. Aventis states that it lacks sufficient information to form an opinion as to the issue of preserving “Plaintiffs’ right to appease the home state issues” and therefore denies the allegation. Aventis denies the remaining allegations in Paragraph 579 of the FAMCC.

580. Aventis admits that Plaintiffs purport to bring this action and to seek relief under Count IV of the FAMCC as alleged in Paragraph 580, but Aventis denies that Plaintiffs are entitled to maintain this action as alleged or to any relief against Aventis under Count IV. Aventis denies the remaining allegations in Paragraph 580 of the FAMCC.

581. To the extent the allegations in Paragraph 581 of the FAMCC refer to the knowledge, conduct or actions of persons, entities or defendants other than Aventis, Aventis is without knowledge or information sufficient to form a belief as to the truth of those allegations and, therefore, denies those allegations. Aventis admits that its principal place of business is located in Bridgewater, New Jersey. Aventis admits that certain states have enacted consumer protection laws, but Aventis denies that it has violated such laws or that Plaintiffs or any putative



class members are entitled to relief under such laws. To the extent the allegations in Paragraph 581 state legal conclusions, Aventis avers that no answer is required. Aventis denies the remaining allegations in Paragraph 581 of the FAMCC and subparts that pertain to it.

582-586. Aventis denies the existence of, and its participation in, any “AWP Scheme” as alleged in Paragraph 582 of the FAMCC. To the extent the allegations in Paragraphs 582 through 586 of the FAMCC and subparts refer to the knowledge, conduct or actions of persons, entities or defendants other than Aventis, Aventis is without knowledge or information sufficient to form a belief as to the truth of those allegations and, therefore, denies those allegations. To the extent the allegations in Paragraph 582 state legal conclusions, Aventis avers that no answer is required. Aventis denies the remaining allegations in Paragraphs 582 through 586 of the FAMCC and subparts that pertain to it. Aventis further denies that Plaintiffs or any putative class members have suffered damages or injuries as alleged in Paragraphs 584 and 586 of the FAMCC.

**COUNT V (CONSUMER PROTECTION STATUTES – MEDICARE PART B CO-PAY  
SUB-CLASS)**

587. Answering Paragraph 587 of the FAMCC, Aventis realleges and incorporates its responses to the allegations in Paragraphs 1 through 586 of the FAMCC. Aventis states that it lacks sufficient information to form an opinion as to the issue of asserting this Count “in the event that the Court does not apply the laws asserted as applicable in Count IV” and therefore denies the allegation. Aventis denies the remaining allegations in Paragraph 587 of the FAMCC.

588-591. Aventis admits that Plaintiffs purport to bring this action and to seek relief under Count V of the FAMCC as alleged in Paragraphs 588 through 591, but Aventis denies that Plaintiffs are entitled to maintain this action as alleged or to any relief against Aventis under



Count V. Further answering, Aventis denies that Plaintiffs have pled a proper class or named proper class representatives. Aventis denies the remaining allegations in Paragraphs 588 through 591 of the FAMCC.

592. To the extent the allegations in Paragraph 592 of the FAMCC refer to the knowledge, conduct or actions of persons, entities or defendants other than Aventis, Aventis is without knowledge or information sufficient to form a belief as to the truth of those allegations and, therefore, denies those allegations. Aventis denies the remaining allegations in Paragraph 592 of the FAMCC that pertain to it.

593. The allegations in Paragraph 593 of the FAMCC and subparts state a legal conclusion to which no answer is required. To the extent the allegations in Paragraph 593 of the FAMCC refer to the knowledge, conduct or actions of persons, entities or defendants other than Aventis, Aventis is without knowledge or information sufficient to form a belief as to the truth of those allegations and, therefore, denies those allegations. To the extent a response is required, Aventis denies the allegations in Paragraph 593 of the FAMCC, and each of its subparts.

594. To the extent the allegations in Paragraph 594 of the FAMCC refer to the knowledge, conduct or actions of persons, entities or defendants other than Aventis, Aventis is without knowledge or information sufficient to form a belief as to the truth of those allegations and, therefore, denies those allegations. Aventis lacks sufficient information to form an opinion as to the sufficiency of notice and therefore denies that allegation. Aventis admits that it is a named defendant in Case 01-5548 but denies as to the allegations in that case. Aventis denies the remaining allegations in Paragraph 594 of the FAMCC.

595. To the extent the allegations in Paragraph 595 of the FAMCC refer to the knowledge, conduct or actions of persons, entities or defendants other than Aventis, Aventis is



without knowledge or information sufficient to form a belief as to the truth of those allegations and, therefore, denies those allegations. Aventis denies that it received the requisite demand notice from Plaintiffs required by any state Consumer Protection Statute. Aventis denies the remaining allegations in Paragraph 595 of the FAMCC.

**COUNT VI (CONSUMER PROTECTION STATUTES – THIRD-PARTY  
PAYORS PART B MEDIGAP CLASS)**

596. Answering Paragraph 596 of the FAMCC, Aventis realleges and incorporates its responses to the allegations in Paragraphs 1 through 595 of the FAMCC. Aventis states that it lacks sufficient information to form an opinion as to the issue of asserting this Count “in the event that the Court does not apply the laws asserted as applicable in Count IV” and therefore denies the allegation. Aventis denies the remaining allegations in Paragraph 596 of the FAMCC.

597-599. Aventis admits that Plaintiffs purport to bring this action and to seek relief under Count VI of the FAMCC as alleged in Paragraphs 597 through 599, but Aventis denies that Plaintiffs are entitled to maintain this action as alleged or to any relief against Aventis under Count VI. Further answering, Aventis denies that Plaintiffs have pled a proper class or named proper class representatives. Aventis denies the remaining allegations in Paragraphs 597 through 599 of the FAMCC.

600. To the extent the allegations in Paragraph 600 of the FAMCC refer to the knowledge, conduct or actions of persons, entities or defendants other than Aventis, Aventis is without knowledge or information sufficient to form a belief as to the truth of those allegations and, therefore, denies those allegations. Aventis denies the remaining allegations in Paragraph 600 of the FAMCC that pertain to it.



601. The allegations in Paragraph 601 of the FAMCC and subparts state a legal conclusion to which no answer is required. To the extent the allegations in Paragraph 601 of the FAMCC refer to the knowledge, conduct or actions of persons, entities or defendants other than Aventis, Aventis is without knowledge or information sufficient to form a belief as to the truth of those allegations and, therefore, denies those allegations. To the extent a response is required, Aventis denies the allegations in Paragraph 601 of the FAMCC, and each of its subparts.

602. To the extent the allegations in Paragraph 602 of the FAMCC refer to the knowledge, conduct or actions of persons, entities or defendants other than Aventis, Aventis is without knowledge or information sufficient to form a belief as to the truth, and therefore, denies those allegations. Aventis lacks sufficient information to form an opinion as to the sufficiency of notice and therefore denies that allegation. Aventis admits that it is a named defendant in Case 01-5548 but denies as to the allegations in that case. Aventis denies the remaining allegations in Paragraph 602 of the FAMCC.

603. To the extent the allegations in Paragraph 603 of the FAMCC refer to the knowledge, conduct or actions of persons, entities or defendants other than Aventis, Aventis is without knowledge or information sufficient to form a belief as to the truth of those allegations and, therefore, denies those allegations. Aventis denies that it received the requisite demand notice from Plaintiffs required by any state Consumer Protection Statute. Aventis denies the remaining allegations in Paragraph 603 of the FAMCC.

**COUNT VII (CONSUMER PROTECTION STATUTES – PHYSICIAN-  
ADMINISTERED CLASS FOR CONSUMERS AND TPPS)**

604. Answering Paragraph 604 of the FAMCC, Aventis realleges and incorporates its responses to the allegations in Paragraphs 1 through 604 of the FAMCC. Aventis states that it



lacks sufficient information to form an opinion as to the issue of asserting this Count “in the event that the Court does not apply the laws asserted as applicable in Count IV” and therefore denies the allegation. Aventis denies the remaining allegations in Paragraph 604 of the FAMCC.

605-610. Aventis admits that Plaintiffs purport to bring this action and to seek relief under Count VII of the FAMCC as alleged in Paragraphs 605 through 610, but Aventis denies that Plaintiffs are entitled to maintain this action as alleged or to any relief against Aventis under Count VII. Further answering, Aventis denies that Plaintiffs have pled a proper class or named proper class representatives. Aventis denies the remaining allegations in Paragraphs 605 through 610 of the FAMCC.

611. Aventis admits that Plaintiffs purport to bring this action and to seek relief under Count VII of the FAMCC as alleged in Paragraph 611 and subparts, but Aventis denies that Plaintiffs are entitled to maintain this action as alleged or to any relief against Aventis under Count VII. The allegations in Paragraph 611 of the FAMCC and subparts state a legal conclusion to which no answer is required. To the extent the allegations in Paragraph 611 of the FAMCC refer to the knowledge, conduct or actions of persons, entities or defendants other than Aventis, Aventis is without knowledge or information sufficient to form a belief as to the truth of those allegations and, therefore, denies those allegations. To the extent a response is required, Aventis denies the allegations in Paragraph 611 of the FAMCC, and each of its subparts.

612. Aventis admits that Plaintiffs purport to bring this action and to seek relief under Count VII of the FAMCC as alleged in Paragraph 612 and subparts, but Aventis denies that Plaintiffs are entitled to maintain this action as alleged or to any relief against Aventis under Count VII. The allegations in Paragraph 612 of the FAMCC and subparts state a legal conclusion to which no answer is required. To the extent the allegations in Paragraph 612 of the



FAMCC refer to the knowledge, conduct or actions of persons, entities or defendants other than Aventis, Aventis is without knowledge or information sufficient to form a belief as to the truth of those allegations and, therefore, denies those allegations. To the extent a response is required, Aventis denies the allegations in Paragraph 612 of the FAMCC, and each of its subparts.

613. To the extent the allegations in Paragraph 613 of the FAMCC refer to the knowledge, conduct or actions of persons, entities or defendants other than Aventis, Aventis is without knowledge or information sufficient to form a belief as to the truth of those allegations and, therefore, denies those allegations. To the extent the allegations in Paragraph 613 state legal conclusions, Aventis avers that no answer is required. Aventis denies the remaining allegations in Paragraph 613 of the FAMCC that pertain to it.

#### **COUNT IX (CIVIL CONSPIRACY – PBMs)**

614. Answering Paragraph 614 of the FAMCC, Aventis realleges and incorporates its responses to the allegations in Paragraphs 1 through 613 of the FAMCC.

615. Aventis admits that Plaintiff CMHV purports to bring this action as alleged in Paragraph 615 of the FAMCC, but Aventis denies that Plaintiff CMHV is entitled to maintain this action in the manner alleged or that CMHV is a proper class representative. Aventis is without knowledge or information sufficient to form a belief as to the truth of the remaining allegations in Paragraph 615 of the FAMCC and, therefore, denies those allegations.

616-619. Aventis denies the existence of, and its participation in, any “conspiratorial arrangement,” “scheme,” “AWP Scheme,” “conspiracy” or “The Aventis Group Manufacturer-PBM Conspiracies” as alleged in Paragraphs 616 through 619 of the FAMCC. To the extent the allegations in Paragraphs 616 through 619 of the FAMCC and subparts refer to the knowledge, conduct or actions of persons, entities or defendants other than Aventis, Aventis is without



knowledge or information sufficient to form a belief as to the truth of those allegations and, therefore, denies those allegations. To the extent the allegations in Paragraphs 616 through 619 state legal conclusions, Aventis avers that no answer is required. Aventis denies the remaining allegations in Paragraphs 616 through 619 of the FAMCC and subparts that pertain to it.

620-621. Aventis denies the existence of, and its participation in, any “conspiracy” as alleged in Paragraphs 620 through 621 of the FAMCC. Aventis denies the allegations in Paragraphs 620 through 621 of the FAMCC. Specifically, Aventis denies that Plaintiffs or any putative class members have suffered damages or injuries as alleged in Paragraph 621 of the FAMCC. To the extent the allegations in Paragraphs 620 through 621 of the FAMCC state legal conclusions, Aventis avers that no answer is required.

#### **UNNUMBERED “WHEREFORE” PARAGRAPH AND REQUEST FOR JURY TRIAL**

Aventis denies Plaintiffs are entitled to a judgment or any other relief requested in their Prayer for Relief and “WHEREFORE” paragraph following Paragraph 621 of the FAMCC.

#### **AFFIRMATIVE DEFENSES**

##### **First Defense**

Plaintiffs and the putative class members fail to state a claim against Aventis upon which relief may be granted.

##### **Second Defense**

Plaintiffs’ and the putative class members’ claims are barred, in whole or in part, by the political question and separation of powers doctrines.

##### **Third Defense**

Plaintiffs’ and the putative class members’ claims are barred, in whole or in part, by the filed rate doctrine.



#### **Fourth Defense**

Plaintiffs and the putative class members have not suffered, and will not suffer, any injury to a legally protected or cognizable interest by reason of the conduct of Aventis as alleged in the FAMCC.

#### **Fifth Defense**

To the extent Plaintiffs and/or any member of the putative class obtain recovery in any other case predicated on the same factual allegations, that Plaintiff or purported class member is barred from seeking recovery against Aventis based on the FAMCC pursuant to the doctrines of *res judicata* and collateral estoppel, and the prohibition on double recovery for the same injury.

#### **Sixth Defense**

Plaintiffs' and the putative class members' claims are barred, in whole or in part, because they violate Aventis' rights under the First Amendment to the United States Constitution and cognate provisions of the Constitutions of the various states which protect the rights to freedom of speech, to petition the government and to freedom of association.

#### **Seventh Defense**

Plaintiffs' and the putative class members' claims are barred, in whole or in part, by the *Noerr-Pennington* doctrine to the extent that such claims are premised, in whole or in part, on alleged statements or conduct by Aventis in judicial, legislative or administrative proceedings of any kind or at any level of government.

#### **Eighth Defense**

Plaintiffs' and the putative class members' claims are barred, in whole or in part, to the extent that Plaintiffs and the putative class members have released, settled, entered into an accord and satisfaction or otherwise compromised their claims.



**Ninth Defense**

Any and all actions taken by Aventis with respect to any of the matters alleged in the FAMCC were taken in good faith and in accordance with established industry practice.

**Tenth Defense**

Plaintiffs' and the putative class members' claims are barred, in whole or in part, by the Supremacy Clause of the United States Constitution, art. VI, § 2, because those claims are preempted and/or precluded by federal law, including, but not limited to, the Federal Employee Retirement Income Security Act of 1974, the Federal Medicare Act, and the Federal Medicaid Act, including all amendments to the same and all regulations promulgated thereunder.

**Eleventh Defense**

Plaintiffs' and the putative class members' claims are barred, in whole or in part, because they conflict with federal statutes and regulations that comprise a comprehensive regulatory regime governing the amounts paid to providers for Medicare Part B-covered drugs.

**Twelfth Defense**

Plaintiffs' and the putative class members' claims are preempted by the dormant Commerce Clause of the United States Constitution.

**Thirteenth Defense**

Plaintiffs' and the putative class members' claims against Aventis are barred because Aventis has complied with all applicable regulations of the federal and state governments.

**Fourteenth Defense**

Plaintiffs' and the putative class members' claims against Aventis are barred, in whole or in part, by the applicable statutes of limitations and repose, and by the doctrines of laches, estoppel, and waiver.



**Fifteenth Defense**

Plaintiffs' and the putative class members' claims are barred, in whole or in part, because they violate the *Ex Post Facto* provisions of Sections 9 and 10 of Article 1 and the Due Process provisions of the Fifth and Fourteenth Amendments to the United States Constitution, as well as cognate provisions of the Constitutions of the various states, to the extent that they seek to deprive Aventis of procedural and substantive safeguards, including traditional defenses to liability and the prohibition against imposing liability retroactively for conduct that was not actionable at the time it occurred.

**Sixteenth Defense**

Aventis' statements or actions were not the proximate cause or cause-in-fact of any injury to or alleged loss by Plaintiffs or the putative class members.

**Seventeenth Defense**

Plaintiffs and the putative class members fail to state with particularity facts to support the fraud allegations, and fail to plead with particularity the fraudulent concealment and multi-source medicine allegations against Aventis contained in the FAMCC.

**Eighteenth Defense**

Plaintiffs and the putative class members fail to allege with particularity their civil conspiracy claims against Aventis as required by Fed. R. Civ. P. 9(b).

**Nineteenth Defense**

Plaintiffs' and the putative class members' claims against Aventis are barred, in whole or in part, because Aventis did not make any false statements to Plaintiffs or to any putative class members. As to any statement attributed to Aventis that Plaintiffs and the putative class members allege to be false or misleading, Aventis had no reasonable grounds to believe, and did not believe at the time such a statement was made, that the statement was false or misleading.



**Twentieth Defense**

Plaintiffs' and the putative class members' claims against Aventis are barred because Aventis did not directly or indirectly conspire with any other entity or engage in any other conduct in violation of state or federal law.

**Twenty-First Defense**

Plaintiffs' and the putative class members' RICO claims against Aventis are barred, in whole or in part, due to Plaintiffs' failure to properly allege a RICO enterprise as required by 18 U.S.C. § 1962(c).

**Twenty-Second Defense**

Plaintiffs' and the putative class members' RICO claims against Aventis are barred, in whole or in part, due to their failure to plead facts showing that Aventis and the PBMs are ongoing organizations whose members function as a continuing unit and share common purposes as required by *United States v. Turkette*, 452 U.S. 576, 583 (1981).

**Twenty-Third Defense**

Plaintiffs' and the putative class members' RICO claims against Aventis are barred, in whole or in part, due to their failure to allege that Aventis conducted the affairs of the alleged enterprises as required by 18 U.S.C. § 1962(c).

**Twenty-Fourth Defense**

Plaintiffs and the putative class members lack standing to bring their RICO claims against Aventis because they cannot show Aventis directly caused their alleged injuries as required by 18 U.S.C. § 1964(c); *Holmes v. Securities Investor Prot. Corp.*, 503 U.S. 258, 265-66, 268 (1992); *Platten v. HG Berm. Exempted Ltd.*, 437 F.3d 118, 132 (1<sup>st</sup> Cir. 2006); and *George Lussier Enters. v. Subaru of New Eng., Inc.*, 393 F.3d 36, 51 (1<sup>st</sup> Cir. 2004).



**Twenty-Fifth Defense**

Plaintiffs' and the putative class members' civil conspiracy claims against Aventis are barred, in whole or in part, by their failure to allege a concerted action as required by *Aetna Cas. Sur. Co. v. P&B Autobody*, 43 F.3d 1546, 1564 (1<sup>st</sup> Cir. 1994) and *Grant v. John Hancock Mut. Life Ins. Co.*, 183 F. Supp. 2d 344, 359 (D. Mass. 2002), and/or by their failure to allege a coercive conspiracy as required by *Fleming v. Dance*, 22 N.E.2d 609, 611 (Mass. 1939).

**Twenty-Sixth Defense**

Plaintiffs' and the putative class members' civil conspiracy claims against Aventis are barred, in whole or in part, because they are duplicative and improper under Massachusetts law. *See Grant*, 183 F. Supp. 2d at 364.

**Twenty-Seventh Defense**

Aventis denies that Plaintiffs and the putative class members have valid consumer protection claims against Aventis under the laws of the Commonwealth of Massachusetts or any other State, Commonwealth or District whose laws are or later become relevant in the course of this multidistrict litigation. However, if such claims are found to exist, Aventis pleads all available defenses under the Acts.



**Twenty-Eighth Defense**

To the extent that the State consumer protection laws alleged in this Complaint or those of any other State whose laws are or later become relevant in the course of this multidistrict litigation require Plaintiffs to send a written demand for relief to Defendants prior to filing a Complaint, Plaintiffs have failed to do so and are not entitled to relief under the applicable statutes.

**Twenty-Ninth Defense**

To the extent that the State consumer protection laws alleged in this Complaint or those of any other State whose laws are or later become relevant in the course of this multidistrict litigation require Plaintiffs to provide notice of the practice at issue to the relevant State Attorney General, Plaintiffs have failed to do so and are not entitled to relief under the applicable statutes.

**Thirtieth Defense**

Plaintiffs' and the putative class members' claims against Aventis under the various state unfair and deceptive trade practice or consumer protection or consumer fraud statutes are barred in whole or in part because Aventis' conduct was not knowing, unfair, deceptive, misleading, unlawful, a misrepresentation or illegal.

**Thirty-First Defense**

Plaintiffs' and the putative class members' claims for equitable relief are barred because equitable relief is not available under any of the alleged causes of action.

**Thirty-Second Defense**

Plaintiffs' and the putative class members' claims for equitable relief are barred because Plaintiffs and the putative class members have an adequate remedy at law.



**Thirty-Third Defense**

Plaintiffs and the putative class members are not entitled to the relief requested in the Complaint because the Court lacks any sufficiently certain, nonspeculative basis for fashioning such relief.

**Thirty-Fourth Defense**

Plaintiffs and the putative class members lack either standing or capacity, or both, to bring some or all of the claims alleged in the Complaint.

**Thirty-Fifth Defense**

Plaintiffs and the putative class members are barred from recovery because the representations and actions alleged by Plaintiffs were not and are not material, in that they were not and are not likely to affect the decisions or conduct of Plaintiffs and putative class members, or to have caused consumers or Third Party Payors to have chosen differently, but for such alleged representations or actions, in light of the information available and known to consumers and Third Party Payors, and in that the alleged representations and actions were not likely to mislead consumers or Third Party Payors acting reasonably under the circumstances.

**Thirty-Sixth Defense**

Plaintiffs' and the putative class members' claims are barred in whole or in part, because of Plaintiffs' and the putative class members' lack of awareness of, and lack of reliance upon, the representations alleged.



**Thirty-Seventh Defense**

Plaintiffs' and the putative class members' claims against Aventis under the various state unfair and deceptive trade practice or consumer protection or consumer fraud statutes are barred in whole or in part because any allegedly fraudulent statement or conduct of Aventis was not consumer-oriented.

**Thirty-Eighth Defense**

To the extent the State consumer protection laws alleged in this Complaint or those of any other State whose laws are or later become relevant in the course of this multidistrict litigation do not recognize a private right of action, Plaintiffs are not entitled to relief under the applicable statutes.

**Thirty-Ninth Defense**

To the extent the State consumer protection laws alleged in this Complaint or those of any other State whose laws are or later become relevant in the course of this multidistrict litigation bar class actions, Plaintiffs are not entitled to relief under the applicable statutes.

**Fortieth Defense**

To the extent the State consumer protection laws alleged in this Complaint or those of any other State whose laws are or later become relevant in the course of this multidistrict litigation limit claims to those brought by "consumers," Plaintiffs are not entitled to relief under the applicable statutes.

**Forty-First Defense**

To the extent the State consumer protection laws alleged in this Complaint or those of any other State whose laws are or later become relevant in the course of this multidistrict



litigation require Plaintiffs to attempt Alternative Dispute Resolution prior to filing a Complaint, Plaintiffs have failed to do so and are not entitled to relief under the applicable statutes.

**Forty-Second Defense**

To the extent the State consumer protection laws alleged in this Complaint or those of any other State whose laws are or later become relevant in the course of this multidistrict litigation limit Plaintiffs' available remedies, Plaintiffs are not entitled to their requested relief under the applicable statutes.

**Forty-Third Defense**

Plaintiffs' and the putative class members' claims against Aventis under the various state unfair and deceptive trade practice or consumer protection or consumer fraud statutes are barred in whole or in part because excess-provider-profit claims are inherently speculative and subjective and not subject to judicial resolution.

**Forty-Fourth Defense**

Plaintiffs' and the putative class members' claims should be dismissed for the reason that, among others, Plaintiffs' and the putative class members' claims for relief are moot.

**Forty-Fifth Defense**

Plaintiffs' and the putative class members' equitable claims are barred, in whole or in part, by the equitable doctrine of unclean hands, the legal doctrine of *in pari delicto* and, the maxim that "one who seeks equity must do equity."

**Forty-Sixth Defense**

This action cannot be maintained as a class action because: (1) the certification and maintenance of this action as a class would violate the separation of powers provisions of the United States Constitution and would be an unconstitutional usurpation of authority reserved to the legislative branch; (2) the claims of Plaintiffs and the putative class members cannot be



properly joined with one another because they did not arise out of the same transaction, occurrence, or series of transactions or occurrences; (3) the named Plaintiffs and the putative class members cannot satisfy the procedural requirements of Fed. R. Civ. P. 23; (4) the questions of fact at issue are not common to the alleged class members, but rather, are highly specific and will vary dramatically from person to person and entity to entity; and/or (5) class certification would violate Aventis' rights provided by the Fifth, Seventh and Fourteenth Amendments to the United States Constitution as well as by the Constitutions of the Commonwealth of Massachusetts and any other State, Commonwealth or District whose laws are or later become relevant in the course of this multidistrict litigation.

**Forty-Seventh Defense**

Plaintiffs' and the putative class members' claims fail, in whole or in part, because of improper claim splitting.

**Forty-Eighth Defense**

Plaintiffs' and the putative class members' antitrust claims against Aventis are barred in whole or in part because: (1) they have not suffered any antitrust injury; (2) they lack standing; and/or (3) the alleged conspiracy does not make economic sense and is economically implausible.

**Forty-Ninth Defense**

Any alleged restraints complained of in the FAMCC are ancillary to legitimate, pro-competitive activity.

**Fiftieth Defense**

Plaintiffs and putative class members are precluded from recovery because the representations and actions alleged by Plaintiffs were not intended to deceive Plaintiffs and the putative class members.



**Fifty-First Defense**

Aventis is not liable to Plaintiffs and the putative class members because it had no duty to disclose the information allegedly not disclosed.

**Fifty-Second Defense**

Plaintiffs' and the putative class members' claims against Aventis under Section 1 of the Sherman Act, 15 U.S.C. § 1, are barred to the extent recovery is based on indirect purchases of medicines.

**Fifty-Third Defense**

Plaintiffs' and the putative class members' antitrust claims against Aventis under the various state antitrust statutes are barred in whole or in part to the extent those statutes: (1) do not allow (or did not allow at the time of the alleged conduct) recovery of damages by indirect purchasers; and/or (2) govern conduct that is predominantly intrastate in nature.

**Fifty-Fourth Defense**

Plaintiffs' and the putative class members' antitrust claims against Aventis under the various state unfair trade and competition statutes are barred in whole or in part to the extent those statutes: (1) do not allow (or did not allow at the time of the alleged conduct) recovery of damages by indirect purchasers; and/or (2) govern conduct that is predominantly intrastate in nature.

**Fifty-Fifth Defense**

Plaintiffs' and the putative class members' antitrust claims against Aventis under the various state consumer protection and consumer fraud statutes are barred in whole or in part to the extent those statutes: (1) do not allow (or did not allow at the time of the alleged conduct) recovery of damages by indirect purchasers; and/or (2) govern conduct that is predominantly intrastate in nature.



**Fifty-Sixth Defense**

Plaintiffs' and the putative class members' antitrust claims against Aventis under the various state unfair trade, unfair competition, consumer protection and consumer fraud statutes are barred to the extent: (1) those claims represent an impermissible circumvention of the states' antitrust statutes; and (2) those statutes apply only to deceptive or misleading conduct and/or govern only consumer transactions.

**Fifty-Seventh Defense**

Third-party payor plaintiffs' and third-party payor putative class members' claims against Aventis are barred, in whole or part, with respect to any alleged overcharge or supracompetitive price on the ground that third-party payors passed on any effect of the alleged supracompetitive prices to their clients, customers, beneficiaries or others in the form of higher premiums, co-pays or other charges.

**Fifty-Eighth Defense**

Plaintiffs' and the putative class members' claims against Aventis are barred, in whole or in part, with respect to any alleged overcharge or supracompetitive price because such supracompetitive price, if any, was absorbed, in whole or part, by a person and/or entity which purchased the medicine directly, and/or by an intermediate indirect purchaser, and was not passed through to the Plaintiffs or putative class members.

**Fifty-Ninth Defense**

Plaintiffs' and the putative class members' claims against Aventis are barred, in whole or in part, by the doctrines of assumption of the risk and/or comparative fault or negligence.

**Sixtieth Defense**

Plaintiffs' and the putative class members' claims against Aventis are barred, in whole or in part, due to their failure to join indispensable parties.



**Sixty-First Defense**

Plaintiffs' and the putative class members' claims against Aventis are barred, in whole or in part, because they have suffered no damages as a result of the matters alleged in the FAMCC.

**Sixty-Second Defense**

Plaintiffs' and the putative class members' claims against Aventis are barred, in whole or in part, because the superceding/intervening cause of any injury allegedly sustained by the Plaintiffs and the putative class members is their own conduct and/or that of others which were in no way caused by Aventis or for which Aventis is not liable.

**Sixty-Third Defense**

Plaintiffs' and the putative class members' claims against Aventis for damages are barred, in whole or in part: (1) because they failed to mitigate their damages, and their failure to mitigate damages should proportionately reduce the recovery of such persons and the allocation of any fault, if any exists, attributable to Aventis; (2) because they would be unjustly enriched if allowed to recover any portion of the damages alleged in the FAMCC; (3) by the doctrine of consent and/or ratification to the extent that Plaintiffs and/or putative class members have received and paid for medicines manufactured, marketed and sold by Aventis after the filing of Plaintiffs' original Complaint; and (4) because they are speculative and remote and because of the impossibility of ascertaining and allocating those alleged damages.

**Sixty-Fourth Defense**

Aventis is entitled to a set-off, should any damages be awarded against it, in the amount of damages or settlement amounts recovered by Plaintiffs and putative class members and with respect to the same alleged injuries. Aventis is also entitled to have any damages that may be



awarded to Plaintiffs and the putative class members reduced by the value of any benefit or payment to Plaintiffs and putative class members from any collateral source.

**Sixty-Fifth Defense**

Any damages recovered by the Plaintiffs and/or the putative class members from Aventis must be limited by the applicable statutory ceilings on recoverable damages.

**Sixty-Sixth Defense**

Plaintiffs and the putative class members may not recover on the claims pleaded in the Complaint because the damages sought are too speculative and remote.

**Sixty-Seventh Defense**

Plaintiffs and the putative class members fail to allege facts or a cause of action against Aventis sufficient to support a claim for attorneys' fees, treble damages and/or legal fees.

**Sixty-Eighth Defense**

To the extent punitive damages are sought, Plaintiffs' and the putative class members' punitive damages claims against Aventis: (1) have no basis in law or fact; (2) are not recoverable because the allegations of the FAMCC are legally insufficient to support a claim for punitive damages against Aventis; (3) cannot be sustained because laws regarding the standards for determining liability for and the amount of punitive damages fail to give Aventis prior notice of the conduct for which punitive damages may be imposed and the severity of the penalty that may be imposed and are void for vagueness in violation of Aventis' due process rights guaranteed by the Fifth and Fourteenth Amendments to the United States Constitutions and the constitutions of any applicable states' laws; (4) cannot be sustained because any award of punitive damages exceeding the limits authorized by the laws or other comparable laws would violate Aventis' due process and equal protection rights guaranteed by the Fifth and Fourteenth Amendments to the United States Constitution and would be improper under the constitutions,



common law and applicable states' laws; (5) cannot be sustained because an award of punitive damages in this case, combined with any prior, contemporaneous, or subsequent judgments against Aventis for punitive damages arising from the design, development, manufacture, fabrication, distribution, supply, marketing, sale, or use of Aventis' medicines would constitute impermissible multiple punishments for the same wrong, in violation of Aventis' due process and equal protection rights guaranteed by the Fifth and Fourteenth Amendments to the United States Constitution and would constitute double jeopardy in violation of the constitutions, common law and statutory law of any applicable states' laws; (6) cannot be sustained because any award of punitive damages without the apportionment of the award separately and severally between or among the alleged joint tortfeasors, as determined by the alleged percentage of the wrong committed by each alleged tortfeasor, would violate Aventis' due process and equal protection rights guaranteed by the Fifth and Fourteenth Amendments to the United States Constitution and would be improper under the constitutions, common law and public policies of any applicable states' law; and (7) cannot be sustained because any award of punitive damages, which are penal in nature, without according Aventis the same protections that are accorded to all criminal defendants, including the protection against unreasonable searches and seizures, the privilege against self-incrimination, and the rights to confront adverse witnesses, a speedy trial, and the effective assistance of counsel, would violate Aventis' rights guaranteed by the Fourth, Fifth, and Sixth Amendment as incorporated into the Fourteenth Amendment to the United States Constitution and would be improper under the constitutions, common law and public policies of any applicable states' laws.

#### **Sixty-Ninth Defense**

To the extent punitive damages are sought, Plaintiffs' and the putative class members' claim for punitive damages against Aventis cannot be sustained because an award of punitive



damages by a jury that: (1) is not provided constitutionally adequate standards of sufficient clarity for determining the appropriate imposition of, and the appropriate size of, a punitive damages award; (2) is not adequately instructed on the limits of punitive damages imposed by the applicable principles of deterrence and punishment; (3) is not expressly prohibited from awarding punitive damages, or determining the amount of an award of punitive damages, in whole or in part on the basis of invidiously discriminatory characteristics, including without limitation the residence, wealth, and corporate status of Aventis; (4) is permitted to award punitive damages under a standard for determining liability for punitive damages that is vague or arbitrary and does not define with sufficient clarity the conduct or mental state that makes punitive damages permissible; (5) is not properly instructed regarding Plaintiffs' and the putative class members' burden of proof with respect to each and every element of a claim for punitive damages; and (6) is not subject to trial court and appellate judicial review for reasonableness and furtherance of legitimate purposes on the basis of constitutionally adequate and objective standards, would violate Aventis' Due Process and Equal Protection rights guaranteed by the Fifth and Fourteenth Amendments to the United States Constitution, and would be improper under the constitutions, common law and public policies of any applicable states' laws.

### **Seventieth Defense**

To the extent punitive damages are sought, Plaintiffs' and the putative class members' claim for punitive damages against Aventis cannot be sustained because an award of punitive damages that is subject to no predetermined limit, such as a maximum multiple of compensatory damages or a maximum amount of punitive damages that may be imposed, would: (1) violate Aventis' due process rights guaranteed by the Fifth and Fourteenth Amendments to the United States Constitution; (2) violate Aventis' right not to be subjected to an excessive award; and (3)



be improper under the constitutions, common law and public policies of any applicable states' laws.

**Seventy-First Defense**

Aventis adopts by reference any additional applicable defenses pleaded by any other defendant not otherwise herein.

**Seventy-Second Defense**

Aventis hereby gives notice that it intends to rely upon any other and additional defense that is now or may become available or appear during or as a result of the discovery proceedings in this action, and hereby reserves its right to amend its answer to assert such defenses. Aventis also reserves the right to assert such other and related defenses under the laws of any State whose law is or later becomes relevant in the course of this multidistrict litigation.

WHEREFORE, Aventis prays that this Court:

1. Dismiss the Fourth Amended Master Consolidated Class Action Complaint with prejudice and enter judgment in favor of Aventis and against Plaintiffs;
2. Enter judgment in favor of Aventis on each Count of the FAMCC;
3. Award Aventis its costs, expenses and fees, including reasonable attorney's fees;
- and
4. Grant such other and further relief for Aventis as this Court deems appropriate.



AVENTIS PHARMACEUTICALS INC.

By its attorneys,

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Dated: May 15, 2006

**CERTIFICATE OF SERVICE**

I hereby certify that on May 15, 2006 I caused a true and correct copy of the foregoing to be served on all counsel of record by electronic service pursuant to Case Management Order No. 2 entered by the Honorable Patti B. Saris in MDL 1456.

/s/ Aimée E. Bierman

Aimée E. Bierman